

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

EXPRESS MAIL NO.: EV351237891US

Applicant : Hidenori Taga, et al.  
Application No. : Not Yet Assigned  
Filed : February 19, 2004  
Title : OPTICAL RECEIVING APPARATUS AND METHOD

Grp./Div. : Not Yet Assigned  
Examiner : Not Yet Assigned

Docket No. : 51883/DBP/T360

**INFORMATION DISCLOSURE STATEMENT  
FOR A CONTINUING NON-CPA APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PostOffice Box 7068  
Pasadena, CA 91109-7068  
February 19, 2004


Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, enclosed is FORM PTO/SB/08A/B. The references listed thereon are not enclosed because they were of record in a parent application, Application No. 10/460,895, filed June 12, 2003.

It is respectfully requested that these references be considered in the examination of this application, and identified in the list of references cited on the patent issuing on this application.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
D. Bruce Prout  
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626/795-9900

DBP/dlf  
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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	<b>Attorney Docket Number</b>	<b>51883/DBP/T360</b>
<b>INFORMATION DISCLOSURE</b>  <b>STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)	Application Number	Not Yet Assigned
	Filing Date	February 19, 2004
	Applicant(s)	Hidenori Taga, et al.
	Group Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee
		US 4,481,676	11-06-1984	Eumurian et al.
		US 4,823,360	04-18-1989	Tremblay et al.
		US 5,001,726	03-19-1991	Kawai et al.
		US 5,274,674	12-28-1993	Lafon, Philippe
		US 5,585,954	12-17-1996	Taga et al.
		US 6,351,322 B1	02-26-2002	Ransford et al.

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. <sup>1</sup>	FOREIGN PATENT DOCUMENT Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)
		JP 56-90634	07-22-1981	Fujitsu Ltd.	Patent Abstract of Japan
		EP 0 113 082 A2	07-11-1984	Fuji Electric Co. Ltd.	

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		European Search Report dated August 8, 2001 corresponding to European patent application number EP 00 10 8680
		Patent Abstract of Japan, Publication number 56,090634, published July 22, 1981
		N.S. BERGANO et al., "Margin Measurements in Optical Amplifier Systems", IEEE Photonics Technology Letters, Vol. 5, No. 3, March 1993, pp. 304-306

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EXAMINER SIGNATURE		DATE CONSIDERED	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.